

THE GENERAL J -FLOWS

WEI SUN

ABSTRACT. We study the general J -flows. We use Moser iteration to obtain the uniform estimate.

1. INTRODUCTION

In [5], Donaldson first defined the J -flow in the setting of moment maps. Later in the study of Mabuchi energy, Chen [2] independently defined the J -flow as the gradient flow for the J -functional under the normalization of the I -functional.

Let (M, ω) be a closed Kähler manifold of complex dimension $n \geq 2$, and χ a smooth closed real $(1, 1)$ form in Γ_ω^k . Throughout this paper, Γ_ω^k is the set of all the real $(1, 1)$ forms whose eigenvalue set with respect to ω belong to k -positive cone in \mathbb{R}^n . We consider the general J -flow for $n \geq k > l \geq 1$,

$$\frac{\partial u}{\partial t} = c - \frac{\chi_u^l \wedge \omega^{n-l}}{\chi_u^k \wedge \omega^{n-k}}, \quad (1.1)$$

where

$$c = \frac{\int_M \chi^l \wedge \omega^{n-l}}{\int_M \chi^k \wedge \omega^{n-k}} \quad \text{and} \quad \chi_u = \chi + \sqrt{-1} \partial \bar{\partial} u. \quad (1.2)$$

Indeed, the results of this paper apply to more general forms

$$\frac{\partial u}{\partial t} = c - \frac{\sum_{l=0}^{k-1} b_l \chi_u^l \wedge \omega^{n-l}}{\chi_u^k \wedge \omega^{n-k}}, \quad b_l \geq 0 \text{ and } \sum_{l=0}^{k-1} b_l > 0. \quad (1.3)$$

We recall the general J -functionals, which was actually defined by Fang, Lai and Ma [6]. Let \mathcal{H} be the space

$$\mathcal{H} := \{u \in C^\infty(M) \mid \chi_u \in \Gamma_\omega^k\}. \quad (1.4)$$

For any curve $v(s) \in \mathcal{H}$, we define the functional J_m by

$$\frac{dJ_m}{ds} = \int_M \frac{\partial v}{\partial s} \chi_v^m \wedge \omega^{n-m} \quad (1.5)$$

for any $0 \leq m \leq k$. The parabolic flow (1.1) can thus be viewed as the negative gradient flow for the J_l -functional under the normalization $J_k(u) = 0$.

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It is easy to see that the J -flow is a special case,

$$\frac{\partial u}{\partial t} = c - \frac{\chi_u^{n-1} \wedge \omega}{\chi_u^n}. \quad (1.6)$$

Chen [3] proved the long time existence of the solution to the J -flow. Weinkove [13] [14] showed the convergence under a strong condition. In [8], Song and Weinkove put forward and established a necessary and sufficient condition for convergence, which is called the cone condition. A numerical version of the cone condition, which is easier to check in concrete examples, was proposed by Lejmi and Székelyhidi [7]. Later, Collins and Székelyhidi [4] affirmed the numerical cone condition on toric manifolds.

In this paper, we prove the following theorem.

Theorem 1.1. *Let (M, ω) be a closed Kähler manifolds of complex dimension $n \geq 2$ and χ a closed form in Γ_ω^k . Suppose that there exists $\chi' \in [\chi] \cap \Gamma_\omega^k$ satisfying the cone condition*

$$ck\chi'^{k-1} \wedge \omega^{n-k} > l\chi'^{l-1} \wedge \omega^{n-l}. \quad (1.7)$$

Suppose that u is the solution to the general J -flow (1.1) on maximal time $[0, T)$. Then here exists a uniform constant $C > 0$ such that for any $t \in [0, T)$

$$\sup_M u(x, t) - \inf_M u(x, t) < C. \quad (1.8)$$

We shall apply the Moser iteration approach in [10] and an idea from Blocki [1] and Székelyhidi [12]. In order to obtain a time-independent uniform estimate, we apply the Moser iteration locally in time t . Since all other arguments are the same as those in [11], we immediately have a corollary. In [11], we applied the ABP estimate to obtain the uniform estimate.

Corollary 1.2. *Under the assumption of Theorem 1.1, there exists a long time solution u to the general J -flow (1.1). Moreover, the solution u converges in C^∞ to u_∞ with $\chi_{u_\infty} \in \Gamma_\omega^k$ satisfying*

$$\chi_{u_\infty}^l \wedge \omega^{n-l} = c\chi_{u_\infty}^k \wedge \omega^{n-k}. \quad (1.9)$$

2. THE UNIFORM ESTIMATE

As in [8] [6], the cone condition (1.7) is necessary and sufficient. In other words, the cone condition means that there is a C^2 function v such that $\chi_v \in \Gamma_\omega^k$ and

$$ck\chi_v^{k-1} \wedge \omega^{n-k} > l\chi_v^{l-1} \wedge \omega^{n-l}. \quad (2.1)$$

We may assume that there is $c > \epsilon > 0$ such that

$$(c - 2\epsilon)k\chi_v^{k-1} \wedge \omega^{n-k} > l\chi_v^{l-1} \wedge \omega^{n-l}. \quad (2.2)$$

Without loss of generality, we may also assume that $\sup_M v = -2\epsilon$.

Along the solution flow $u(x, t)$ to equation (1.1),

$$\begin{aligned} \frac{d}{dt} J_k(u) &= \int_M \frac{\partial u}{\partial t} \chi_u^k \wedge \omega^{n-l} \\ &= c \int_M \chi_u^k \wedge \omega^{n-k} - \int_M \chi_u^l \wedge \omega^{n-l} = 0. \end{aligned} \quad (2.3)$$

Lemma 2.1. *At any time t ,*

$$0 \leq \sup_M u \leq -C_1 \inf_M u + C_2 \quad \text{and} \quad \inf_M u(x, t) \leq 0. \quad (2.4)$$

Proof. Choosing the path $v(s) = su$ and noting that $J_k(u) = 0$,

$$\frac{1}{k+1} \sum_{i=0}^k \int_M u \chi_u^i \wedge \chi^{k-i} \wedge \omega^{n-k} = 0. \quad (2.5)$$

The first and third inequalities in (2.4) then follow from (2.5) and Gårding's inequality.

Rewriting (2.5),

$$\int_M u \chi^k \wedge \omega^{n-k} = - \sum_{i=1}^k \int_M u \chi_u^i \wedge \chi^{k-i} \wedge \omega^{n-k}. \quad (2.6)$$

Let C be a positive constant such that

$$\omega^n \leq C \chi^k \wedge \omega^{n-l}. \quad (2.7)$$

Then as in [9],

$$\begin{aligned} \int_M u \omega^n &= \int_M \left(u - \inf_M u \right) \omega^n + \int_M \inf_M u \omega^n \\ &\leq C \int_M \left(u - \inf_M u \right) \chi^k \wedge \omega^{n-k} + \inf_M u \int_M \omega^n \\ &\leq \inf_M u \left(\int_M \omega^n - (k+1)C \int_M \chi^{n-\alpha} \wedge \omega^\alpha \right). \end{aligned} \quad (2.8)$$

The second inequality in (2.4) then follows from (2.8) (see Yau [15]).

□

Proof of Theorem 1.1. According to Lemma 2.1, it suffices to prove a lower bound for $\inf_M(u - v)(x, t)$. We claim that

$$\inf_M(u - v)(x, t) > \inf_M u_t(x, 0) - C_0, \quad (2.9)$$

where C_0 is to be specified later.

Differentiating the general J -flow (1.1) with respect to t ,

$$\frac{\partial u_t}{\partial t} = \frac{\chi_u^l \wedge \omega^{n-l}}{\chi_u^k \wedge \omega^{n-k}} \left(\frac{k\sqrt{-1}\partial\bar{\partial}u_t \wedge \chi_u^{k-1} \wedge \omega^{n-k}}{\chi_u^k \wedge \omega^{n-k}} - \frac{l\sqrt{-1}\partial\bar{\partial}u_t \wedge \chi_u^{l-1} \wedge \omega^{n-l}}{\chi_u^l \wedge \omega^{n-l}} \right), \quad (2.10)$$

which is also parabolic. Applying the maximum principle, u_t reaches the extremal values at $t = 0$. So when $t \leq 1$, we have

$$\inf_M (u - v)(x, t) \geq \inf_M u(x, t) + 2\epsilon \geq t \inf_M u_t(x, 0) + 2\epsilon. \quad (2.11)$$

Therefore if (2.9) fails, there must be time $t_0 > 1$ such that

$$\inf_M (u - v)(x, t_0) = \inf_{M \times [0, t_0]} (u - v)(x, t) = \inf_M u_t(x, 0) - C_0 \leq 0. \quad (2.12)$$

For $p \geq 1$, we consider the integral

$$I = \int_M \varphi^p \left[\left((c - u_t) \chi_u^k \wedge \omega^{n-k} - (c - \epsilon) \chi_v^k \wedge \omega^{n-k} \right) - \left(\chi_u^l \wedge \omega^{n-l} - \chi_v^l \wedge \omega^{n-l} \right) \right]. \quad (2.13)$$

It is easy to see that from some constant $C > 0$

$$I \leq C \int_M \varphi^p \omega^n. \quad (2.14)$$

$$I = \int_M \varphi^p \left[(c - \epsilon - su_t + s\epsilon) \chi_{su+(1-s)v}^k \wedge \omega^{n-k} - \chi_{su+(1-s)v}^l \wedge \omega^{n-l} \right] \Big|_{s=0}^1 \quad (2.15)$$

For simplicity, we denote $\chi_s = \chi_{su+(1-s)v}$. Thus

$$\begin{aligned} I &= \int_0^1 ds \int_M \varphi^p \sqrt{-1} \partial \bar{\partial} (u - v) \wedge \left[k(c - \epsilon - su_t + s\epsilon) \chi_s^{k-1} \wedge \omega^{n-k} - l \chi_s^{l-1} \wedge \omega^{n-l} \right] \\ &\quad - \int_0^1 ds \int_M \varphi^p (u_t - \epsilon) \chi_s^k \wedge \omega^{n-k} \\ &= -p \int_0^1 ds \int_M \varphi^{p-1} \sqrt{-1} \partial \varphi \wedge \bar{\partial} (u - v) \\ &\quad \wedge \left[k(c - \epsilon - su_t + s\epsilon) \chi_s^{k-1} \wedge \omega^{n-k} - l \chi_s^{l-1} \wedge \omega^{n-l} \right] \\ &\quad - \int_0^1 ds \int_M k s \varphi^p \sqrt{-1} \partial (u - v) \wedge \partial u_t \wedge \chi_s^{k-1} \wedge \omega^{n-k} \\ &\quad - \int_0^1 ds \int_M \varphi^p (u_t - \epsilon) \chi_s^k \wedge \omega^{n-k}. \end{aligned} \quad (2.16)$$

We define

$$\varphi = (u - v - \epsilon t - L)^- \geq 0, \quad (2.17)$$

where L to be specified later, and hence

$$\begin{aligned}
I = & p \int_0^1 ds \int_M \varphi^{p-1} \sqrt{-1} \partial(u-v) \wedge \bar{\partial}(u-v) \\
& \wedge \left[k(c - \epsilon - su_t + s\epsilon) \chi_s^{k-1} \wedge \omega^{n-k} - l \chi_s^{l-1} \wedge \omega^{n-l} \right] \\
& - \int_0^1 ds \int_M ks \varphi^p \sqrt{-1} \bar{\partial}(u-v) \wedge \partial u_t \wedge \chi_s^{k-1} \wedge \omega^{n-k} \\
& + \frac{1}{p+1} \int_0^1 ds \int_M \partial_t(\varphi^{p+1}) \chi_s^k \wedge \omega^{n-k}.
\end{aligned} \tag{2.18}$$

Using integration by parts again, we know that almost everywhere over time t ,

$$\begin{aligned}
& - \int_0^1 ds \int_M ks \varphi^p \sqrt{-1} \bar{\partial}(u-v) \wedge \partial u_t \wedge \chi_s^{k-1} \wedge \omega^{n-k} \\
= & \frac{1}{p+1} \int_0^1 ds \int_M ks \varphi^{p+1} \sqrt{-1} \partial \bar{\partial} u_t \wedge \chi_s^{k-1} \wedge \omega^{n-k} \\
= & \frac{1}{p+1} \int_0^1 ds \int_M \varphi^{p+1} \partial_t(\chi_s^k \wedge \omega^{n-k}),
\end{aligned} \tag{2.19}$$

and thus

$$\begin{aligned}
I = & p \int_0^1 ds \int_M \varphi^{p-1} \sqrt{-1} \partial(u-v) \wedge \bar{\partial}(u-v) \\
& \wedge \left[k(c - \epsilon - su_t + s\epsilon) \chi_s^{k-1} \wedge \omega^{n-k} - l \chi_s^{l-1} \wedge \omega^{n-l} \right] \\
& + \frac{1}{p+1} \frac{d}{dt} \int_0^1 ds \int_M \varphi^{p+1} \chi_s^k \wedge \omega^{n-k}.
\end{aligned} \tag{2.20}$$

Since $-S_{l-1;i}/S_{k-1;i}$ and $S_{k-1;i}^{\frac{1}{k-1}}$ are concave, we have

$$\begin{aligned}
k(c - \epsilon - su_t + s\epsilon) \chi_s^{k-1} \wedge \omega^{n-k} - l \chi_s^{l-1} \wedge \omega^{n-l} & \geq (1-s) \epsilon k \chi_s^{k-1} \wedge \omega^{n-k} \\
& \geq (1-s)^k \epsilon k \chi_v^{k-1} \wedge \omega^{n-k}.
\end{aligned} \tag{2.21}$$

Then

$$\begin{aligned}
I \geq & \frac{\epsilon kp}{k+1} \int_M \varphi^{p-1} \sqrt{-1} \partial(u-v) \wedge \bar{\partial}(u-v) \wedge \chi_v^{k-1} \wedge \omega^{n-k} \\
& + \frac{1}{p+1} \frac{d}{dt} \int_0^1 ds \int_M \varphi^{p+1} \chi_s^k \wedge \omega^{n-k}.
\end{aligned} \tag{2.22}$$

Integrating I from $t_0 - 1$ to $t' \in [t_0 - 1, t_0]$, we obtain

$$\begin{aligned}
C \int_{t_0-1}^{t'} dt \int_M \varphi^p \omega^n & \geq \sigma p \int_{t_0-1}^{t'} dt \int_M \varphi^{p-1} \sqrt{-1} \partial(u-v) \wedge \bar{\partial}(u-v) \wedge \omega^{n-1} \\
& + \frac{1}{p+1} \int_0^1 ds \int_M \varphi^{p+1} \chi_s^k \wedge \omega^{n-k} \Big|_{t=t_0-1}^{t'}.
\end{aligned} \tag{2.23}$$

Choosing $L = \inf_{M \times [0, t_0]} (u - v) - \epsilon t_0 + \epsilon$,

$$\varphi(x, t_0 - 1) = (u - v - \inf_{M \times [0, t_0]} (u - v))^- = 0, \quad (2.24)$$

and

$$\sup_{M \times [0, t_0]} \varphi(x, t) = \sup_M \varphi(x, t_0) = (u(x_i, t_0) - v(x_i) - \epsilon - \inf_{M \times [0, t_0]} (u - v))^- = \epsilon. \quad (2.25)$$

So

$$\begin{aligned} C \int_{t_0-1}^{t'} dt \int_M \varphi^p \omega^n &\geq \sigma p \int_{t_0-1}^{t'} dt \int_M \varphi^{p-1} \sqrt{-1} \partial(u - v) \wedge \bar{\partial}(u - v) \wedge \omega^{n-1} \\ &\quad + \frac{1}{p+1} \int_0^1 ds \int_M \varphi^{p+1} \chi_s^k \wedge \omega^{n-k} \Big|_{t=t'}. \end{aligned} \quad (2.26)$$

By integration by parts, we observe that

$$\int_0^1 ds \int_M \varphi^{p+1} \chi_s^k \wedge \omega^{n-k} \geq \int_0^1 ds \int_M \varphi^{p+1} \chi_v^k \wedge \omega^{n-k} = \int_M \varphi^{p+1} \chi_v^k \wedge \omega^{n-k}. \quad (2.27)$$

Substituting (2.26) into (2.27)

$$\begin{aligned} C \int_{t_0-1}^{t'} dt \int_M \varphi^p \omega^n &\geq \sigma p \int_{t_0-1}^{t'} dt \int_M \varphi^{p-1} \sqrt{-1} \partial(u - v) \wedge \bar{\partial}(u - v) \wedge \omega^{n-1} \\ &\quad + \frac{1}{p+1} \int_M \varphi^{p+1} \chi_v^k \wedge \omega^{n-k} \Big|_{t=t'} \\ &\geq \frac{2\sigma}{p+1} \int_{t_0-1}^{t'} dt \int_M \sqrt{-1} \partial \varphi^{\frac{p+1}{2}} \wedge \bar{\partial} \varphi^{\frac{p+1}{2}} \wedge \omega^{n-1} \\ &\quad + \frac{1}{p+1} \int_M \varphi^{p+1} \chi_v^k \wedge \omega^{n-k} \Big|_{t=t'}. \end{aligned} \quad (2.28)$$

Consequently,

$$\begin{aligned} C(p+1) \int_{t_0-1}^{t_0} dt \int_M \varphi^p \omega^n &\geq 2\sigma \int_{t_0-1}^{t_0} dt \int_M \sqrt{-1} \partial \varphi^{\frac{p+1}{2}} \wedge \bar{\partial} \varphi^{\frac{p+1}{2}} \wedge \omega^{n-1} \\ &\quad + \sup_{t \in [t_0-1, t_0]} \int_M \varphi^{p+1} \chi_v^k \wedge \omega^{n-k}. \end{aligned} \quad (2.29)$$

By Sobolev inequality, for $\beta = \frac{n+1}{n}$,

$$C(p+1) \int_{t_0-1}^{t_0} dt \int_M \varphi^p \omega^n \geq \left(\int_{t_0-1}^{t_0} dt \int_M \varphi^{(p+1)\beta} \right)^{\frac{1}{\beta}}. \quad (2.30)$$

We can then iterate $\beta \rightarrow \beta^2 + \beta \rightarrow \beta^3 + \beta^2 + \beta \rightarrow \dots$ and obtain

$$p_m = \frac{\beta(\beta^{m+1} - 1)}{\beta - 1} \quad (2.31)$$

and

$$(\ln C - \ln \beta) + \ln p_{m+1} + p_m \ln \|\varphi\|_{L^{p_m}} \geq \frac{p_{m+1}}{\beta} \ln \|\varphi\|_{L^{p_{m+1}}}. \quad (2.32)$$

From (2.32),

$$\sum_{m=0}^q \frac{\ln C - \ln \beta}{\beta^m} + \sum_{m=0}^q \frac{\ln p_{m+1}}{\beta^m} + \beta \ln \|\varphi\|_{L^\beta} \geq \frac{p_{q+1}}{\beta^{q+1}} \ln \|\varphi\|_{L^{p_{q+1}}}, \quad (2.33)$$

that is

$$\ln \left(\frac{C}{\beta - 1} \right) \sum_{m=0}^q \frac{1}{\beta^m} + \sum_{m=0}^q \frac{\ln(\beta^{m+1} - 1)}{\beta^m} + \beta \ln \|\varphi\|_{L^\beta} \geq \frac{\beta^{q+2} - 1}{\beta^q(\beta - 1)} \ln \|\varphi\|_{L^{p_{q+1}}}. \quad (2.34)$$

Letting $q \rightarrow \infty$,

$$C + \ln \|\varphi\|_{L^\beta} \geq \frac{\beta}{\beta - 1} \ln \|\varphi\|_{L^\infty} = \frac{\beta \ln \epsilon}{\beta - 1}. \quad (2.35)$$

Therefore, there exists a uniform constant $c_1 > 0$ such that

$$\int_{t_0-1}^{t_0} dt \int_M \varphi^\beta \omega^n \geq c_1. \quad (2.36)$$

So we have

$$\epsilon^\beta \int_{t_0-1}^{t_0} dt \int_{\{\varphi > 0\}} \omega^n \geq c_1. \quad (2.37)$$

When $\varphi > 0$,

$$u < v + \epsilon t + \inf_{M \times [0, t_0]} (u - v) - \epsilon t_0 + \epsilon \leq v + \epsilon + \inf_{M \times [0, t_0]} (u - v) < \inf_{M \times [0, t_0]} (u - v). \quad (2.38)$$

Thus, using an idea from Blocki [1] and Székelyhidi [12],

$$\begin{aligned} c_1 &\leq \epsilon^\beta \int_{t_0-1}^{t_0} \frac{\|u^-(x, t)\|_{L^1}}{|\inf_{M \times [0, t_0]} (u - v)|} dt \\ &\leq \epsilon^\beta \int_{t_0-1}^{t_0} \frac{\|u(x, t) - \sup_M u(x, t)\|_{L^1}}{|\inf_{M \times [0, t_0]} (u - v)|} dt. \end{aligned} \quad (2.39)$$

Since Δu has a lower bound, we have a uniform bound for $\|u(x, t) - \sup_M u(x, t)\|_{L^1}$. As a consequence, there is a uniform constant $C_0 > 0$ such that

$$\inf_{M \times [0, t_0]} (u - v) > -C_0. \quad (2.40)$$

However, it contradicts the definition of t_0 .

□

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SHANGHAI CENTER FOR MATHEMATICAL SCIENCES, FUDAN UNIVERSITY, SHANGHAI 200433, CHINA
E-mail address: `sunwei_math@fudan.edu.cn`